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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/091,166	03/05/2002	David A. Adler	97-44D1

Brian J. Walsh
Patent Department
ZymoGenetics, Inc.
1201 Eastlake Avenue East
Seattle, WA 98102

COPY

CONFIRMATION NO. 7711

FORMALITIES LETTER



OC000000008444484

Date Mailed: 07/12/2002

9/12/02 2002

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE
DISCLOSURES**

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked-up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

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A copy of this notice MUST be returned with the reply.

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PART 2 - COPY TO BE RETURNED WITH RESPONSE



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PATENT APPLICATION
File No: 97-44D1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : David A. Adler, James L. Holloway, Nand Baindur, Stephanie Beigel-Orme, Paul O. Sheppard
Serial No. : 10/091,166
Group Art Unit : 1653
Examiner :
Filed : March 5, 2002
For : NOVEL BETA-DEFENSINS

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

Commissioner for Patents
Washington, DC 20231

Sir:

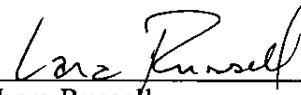
I hereby certify that the attached correspondence comprising:

1. Return Post card
2. Response to Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures
3. Copy of Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures
4. Sequence Listing Diskette compliant with 37 CFR 1.821-1.825
5. Paper copy of the Sequence Listing

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents
Washington, DC 20231

on September 12, 2002.



Lara Russell



PATENT APPLICATION
File No: 97-44D1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : David A. Adler, James L. Holloway, Nand Baindur, Stephanie Beigel-Orme, Paul O. Sheppard
Serial No. : 10/091,166
Group Art Unit : 1653
Examiner :
Filed : March 5, 2002
For : NOVEL BETA-DEFENSINS
Date Submitted : September 12, 2002

**RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT
APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO
ACID SEQUENCE DISCLOSURES**

Commissioner for Patents
Washington, DC 20231

Sir:

In response to the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures dated July 12, 2002, submitted herewith is a substitute sequence listing diskette and a substitute paper copy of the sequence listing for the above-captioned application.

The content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 CFR 1.821-1.825.

Respectfully submitted,

Brian J. Walsh
Registration No. 45,543